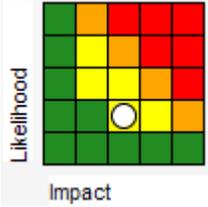
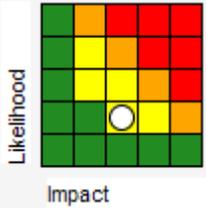
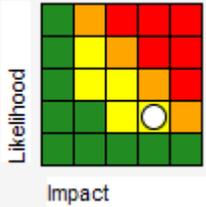


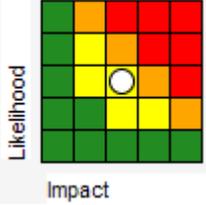
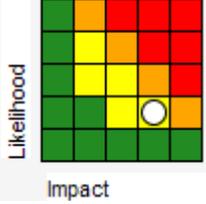
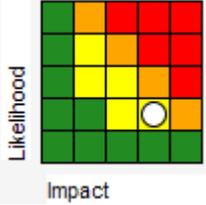
Appendix A - Key Risk Register - Cabinet March 2014



Service Area	Title	Potential Effect	Internal Controls	Responsible Officer	Latest Note	Current Risk Matrix	Current Risk Assessment and Score
Corporate Services: Borough Treasurer	Treasury Management Investments are riskier given current market conditions	The volatility in financial markets has meant that investments are now less secure than previously. There is the potential that significant sums of money could be lost.	There is a treasury management policy and strategy in place. Well trained staff make investments with the guidance of brokers and Sector. Investments can only be made in top rated UK based institutions or other local Authorities and for a maximum of three months.	Borough Treasurer	An updated Treasury Management Policy and Strategy will be submitted to Council for approval in April 2014.		5 Content
Corporate Services: Borough Treasurer	Achieving a balanced budget position for 2015/16	The latest spending review has announced further cuts in Government funding for 2015/16 which will need to be addressed to meet the statutory requirement to set a balanced budget.	The medium term financial forecasting process and business plan will set out how this financial challenge will be met.	Borough Treasurer	Council set its budget for 2014/15 in February 2014. A new Business Plan covering the period 2015/18 is currently being drawn up to address the Council's medium term financial challenges.		10 Concerned
Community Services	Business Continuity - Potential for disruption	Lack of Business Continuity planning could have a severe impact on service provision across critical Service Areas.	Key Service areas have been identified and individual plans put in place. These plans are tested on a regular basis and updated accordingly.	Emergency Planning Officer	A review of this area is currently being undertaken and more information will be provided to Members in due course in the form of a Member's update.		4 Content

<p>Housing & Regeneration : Housing</p>	<p>Failure to deliver Affordable Housing Strategy</p>	<p>The Affordable Housing Strategy will deliver a series of plans that will outline our future affordable housing interventions programme, will establish Housing Needs data that will help in negotiation for affordable housing provision on mixed development sites and will identify plots of land that may be suitable for affordable housing development.</p>	<p>The established Affordable Housing Panel will shape appropriate policy responses. A Borough wide Housing Needs survey, Framework Formulation and an Affordable Housing Viability Study will need to be considered by the Affordable Housing Panel.</p>	<p>Housing Strategy and Development Programme Manager</p>	<p>a) Work is on-going to optimise the amount of affordable housing achieved from market housing sites through the use of planning obligations and the Section 106 arrangements. b) The Housing Strategy Section continues to work with Registered Providers to identify land sites suitable for the development of 100% affordable housing schemes. We are currently exploring 7 sites that have the potential to provide up to 80 units. c) In December 2013, 30 affordable dwellings were completed by Regenda Housing Group in the rural village of Banks. The build was carried out by Melfords, a local building company. In January 2014 the allocation process started, giving priority to households with a local connection to Banks. The development has proved popular and 29 of the 30 dwellings have been allocated to date. d) Through our partnership arrangement with Regenda Housing Group a development of 12 affordable homes in Burscough on land at Pickles / Furnival Drive is being progressed. e) The HCA have announced a new affordable homes grant bid round for the period 2015 - 2018. The Housing Strategy Section is working with Registered Providers to submit funding bids. f) Through our partnership arrangement mentioned above, Regenda Housing Group, supported by the Council submitted three funding bids to the HCA in the last bid round. The bid was successful. In terms of HCA investment in the Borough it works out at around £1.6 million provided all units are built. The final number to be achieved out of the 64 units possible, will be dependent upon the outcome of the respective planning applications. Work continues to shape the respective planning applications and agree land acquisition / land option arrangements where necessary.</p>		<p>6 Uneasy</p>
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Council Wide	Loss of personal data	The Information Commissioner can take a range of actions against the Council for breaches of the Data Protection legislation including issuing undertakings to commit the Council to a particular course of action to improve its compliance with DPA, audit, serve enforcement notices and Stop Now Orders and, in the case of a serious breach, can serve a Monetary Penalty Notice up to £500,000. A loss of personal data would result in negative press coverage, damage to the Council's reputation, officer time and resources in addressing the breach and potentially action against the Council by the data subject.	The Council has had Data Protection policies in place for some years. Corporate policies have been updated and service specific policies are being updated. New roles of Senior Information Risk Owner (SIRO) and Information Asset Owners (IAO) have been introduced in an updated DP Policy. Initial refresher training has been completed and further training is planned for March 2013. An action plan is in place to assist compliance.	Managing Directors and Heads of Service	The original Action Plan has now been completed and a revised version created to carry forward continuing obligations and new requirements.		6 Uneasy
Planning Services	Failure to deliver a new Local Plan	The future housing, economic and infrastructure needs of the Borough would not be fully met. Also a greater risk of development occurring in unsustainable locations.	A Local Development Scheme (LDS) has been produced setting key targets and milestones relating to delivery of the LDF. These are replicated in the Council's Business Plan and in the Service Action Plan	Assistant Director Planning	The Local plan has been adopted but is subject to a legal challenge. A Court date is yet to be set but this risk will need to be reviewed once the legal decision has been made.		8 Uneasy

<p>Planning Services</p>	<p>Failure to deliver Skelmersdale Town Centre Regeneration</p>	<p>Opportunity - The project will provide a mix of residential, commercial, leisure and education accommodation opportunities. Threat - We could fail to address the economic issues, not address residents' requirements and have an impact on the Council's reputation.</p>	<p>1. Continue to consult with public where relevant. 2. Collaboration agreement in place. 3. Continue to engage with the "other" landowners to encourage their participation in the scheme. 4. This risk is reviewed regularly as part of the on-going project management. 5. Maintaining regular contact with developer and potential retail/commercial/leisure occupiers. 6. Project Board meets regularly to review progress.</p>	<p>Assistant Director Planning</p>	<p>Currently working with St Modwen to bring forward three housing sites within the Town Centre. Discussions also on-going in relation to town centre scheme. Firbeck Revival scheme and new Youth Zone development are both underway.</p>		<p>9 Uneasy</p>
<p>Corporate Services: Transformation</p>	<p>ICT Infrastructure (Q)</p>	<p>Several ICT systems/software applications coming to end of life in future years</p>	<p>The ICT Strategy has prioritised the refresh of ICT infrastructure for 2014.</p>	<p>Transformation Manager</p>	<p>Addressed through the ICT Strategy</p>		<p>8 Uneasy</p>
<p>Corporate Services: Transformation</p>	<p>Failure to manage the impact of the Government's Benefit Reforms</p>	<p>The government has reduced its financial support for Council Tax benefit by 10% from April 2013, which creates a financial risk for both the Council and local benefit claimants. There are further risks from the proposed changes on universal credits.</p>	<p>A working group has been established with membership from WLBC, LCC/OCL, together with the DWP to oversee and manage the changes and introduction of the various emerging changes regarding Welfare Reform</p>	<p>Transformation Manager</p>	<p>A Member Update report on Welfare Reform will be provided within the first quarter of 2014/15</p>		<p>8 Uneasy</p>